09-29-00

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY	<b>PATENT</b>	<b>APPLICATION</b>	<b>TRANSMITTAI</b>

(Only for new honprovisional applications under 37 CFR 1.53(b)				
Attorney Docket No.	003498.P054		<b>Total Pages</b>	-
First Named Inventor	or Application Identifier	Rajugopal R. Gubbi		
Express Mail Label No	. <u>EL471466851US</u>			
100		- Marie - Annie - Anni		

ADDRESS TO: **Assistant Commissioner for Patents Box Patent Application** Washington, D. C. 20231

	<del>-</del>	ELEMENTS hapter 600 concerning utility patent application contents.		
1.	_ <u>x</u>	Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		
2.	x	Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure		
3.	<u>X</u>	Drawings(s) (35 USC 113) (Total Sheets 6)		
4.	X	Oath or Declaration (Total Pages <u>5</u> )		
		a. <u>x</u> Newly Executed (Original or Copy)		
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed) (Note Box 5 below)		
		i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		
5.		Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
6.		Microfiche Computer Program (Appendix)		
12/01	/97			

7.	(if applicable, all necessary)					
	a Computer Readable Copy b Paper Copy (identical to computer copy)					
	c Statement verifying identity of above copies					
	ACCOMPANYING APPLICATION PARTS					
8. 9.	<ul> <li>X Assignment Papers (cover sheet &amp; documents(s))</li> <li>X a. 37 CFR 3.73(b) Statement (where there is an assignee)</li> </ul>					
	b. Power of Attorney					
10.	English Translation Document (if applicable)					
11.	a. Information Disclosure Statement (IDS)/PTO-1449					
	b. Copies of IDS Citations					
12.	Preliminary Amendment					
13.	x Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
14.	a. Small Entity Statement(s)					
	b. Statement filed in prior application, Status still proper and desired					
15.	Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	Other					
10.	Other: A copy of the postcard w/express mail stamp (1 pg.)					
17.	If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:					
	Continuation Divisional Continuation-in-part (CIP)					
	of prior application No:					
	5. p					
18.	Correspondence Address					
	Customer Number or Bar Code Label					
	(Insert Customer No. or Attach Bar Code Label here)					
<u>x</u>	_ Correspondence Address Below / /					
	A / A.					
NAMI	NAME Mark L. Watson – Reg. No.: 46,322					
	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
ADDF	RESS 12400 Wilshire Boulevard					
	Seventh Floor					
CITY	Los Angeles STATE California ZIP CODE 90025-1026					
Coun	try <u>U.S.A.</u> TELEPHONE <u>(408) 720-8598</u> FAX (408) 720-9397					

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2000						
				TOTAL AMOUNT OF PAYMENT (\$)	\$730.00	!
	lete if Kno					
	cation No.		ot Yet As	ssigned		
Filing	Date He	erewith	Deimo	-10.011:		
	Art Unit			al R. Gubbi		
	ner Name					
	ey Docket					
	OD OF F					
1.	[ x ]			oner is hereby authorized to charge indicat ents to:	ed fees and cred	it
				int Number <u>02-2666</u> int Name		
	[ x ]	Charge	e Any A	dditional Fee Required Under 37 CFR 1.16 a	nd 1.17	
2.	X	Payme	nt Encl	osed:		
		<u>x</u>	Check			
1			-	/ Order		
			Other			
FEE (	CALCULA	NOITA				
1.	BASIC F	ILING F	EE			
Large	Entity	Small I	Entity			
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)	Fee Description		Fee Paid
101	690	201	345	Utility application filing fee		\$690
106	310	206	155	Design application filing fee		
107 108	480	207	240	Plant filing fee		
114	690 150	208 214	345 75	Reissue filing fee		
114	130	214	75	Provisional application filing fee		
				SU	BTOTAL (1) \$ <u>6</u> 9	90.00
2.	EXTRA (	CLAIM F	FEES		Fee from	
				Extra Claims	<u>below</u>	Fee Paid
Total	Claims	9				\$0
	endent C		1	$-3^{**} = 0$		<u>\$0</u> \$0
	ole Deper			V	=	-ΦΟ
			lv paid	, if greater; For Reissues, see below.		
Large	Entity	Small I	Entity	, ii grouter, i or riciosaco, see belev.		
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	78	202	39	Independent claims in excess of 3		ļ
104	260	204	130	Multiple dependent claim, if not paid		
109	78 10	209	39	**Reissue independent claims over origina	l patent	
110	18	210	9	**Reissue claims in excess of 20 and over	original patent	
				s	UBTOTAL (2) \$	0.00

01/10/2000

#### FEE CALCULATION (continued) 3. **ADDITIONAL FEES** Large Entity **Small Entity** Fee Fee Fee Fee Code Code (\$) (\$) **Fee Description** Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination Requesting publication of SIR prior to 112 920\* 112 920\* **Examiner action** 113 1,840\* 113 1,840\* Requesting publication of SIR after **Examiner action** 115 110 215 55 Extension for response within first month 116 380 216 Extension for response within second month 190 117 870 217 435 Extension for response within third month 118 1,360 680 218 Extension for response within fourth month 128 1,850 228 925 Extension for response within fifth month 119 300 219 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1.510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoidably abandoned application 141 1,210 241 605 Petition to revive unintentionally abandoned application 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 Design issue fee 215 144 580 244 290 Plant issue fee 122 130 122 130 **Petitions to the Commissioner** 123 50 123 50 Petitions related to provisional applications 240 126 126 240 **Submission of Information Disclosure Stmt** Recording each patent assignment per 581 40 581 40 property (times number of properties) \$40 146 690 For filing a submission after final rejection 246 345 (see 37 CFR 1.129(a)) 149 690 249 345 For each additional invention to be examined (see 37 CFR 1.129(a)) Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ 40.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name:// Mark L. Watson Signature Date Reg. Number 46,322 **Deposit Account User ID** (complete if applicable)

# **United States Patent Application**

For

## Architecture for a Wireless Local Area Network Node

Inventors:

Rajugopal R. Gubbi

Prepared by:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025-1026

(408) 720-8300

	"Express Mail" mailing label number: ELY71466851US
	Date of Deposit: Se Stambour 27, 2000
	I hereby certify that I am causing this paper or fee to be deposited with the United States
	Postal Service "Express Mail Post Office to Addressee" service on the date indicated above
	and that this paper or fee has been addressed to the Assistant Commissioner for Patents,
	Washington, D. C. 20231
	K. Baroso
_	(Typed or printed name of person mailing paper or fee)
	oreloat
	(Signature of person mailing paper or fee)
	9/27/00
	(Date signed)

#### Architecture for a Wireless Local Area Network Node

#### FIELD OF THE INVENTION

The present invention relates to the field of wireless computer networks and, in particular, to the hardware and software architectures for a node to be used in such a network, especially where the network operates according to the protocol specified in the IEEE Standard 802.11, and variants thereof.

#### **BACKGROUND**

10

15

20

5

The Institute of Electrical and Electronic Engineers (IEEE) manages most of the worldwide standards for computer local area networks (LANs) and its IEEE 802.11 Standard represents the first standard for wireless LAN (WLAN) products. The majority of the WLAN products available in the marketplace today are proprietary spread spectrum solutions targeting vertical applications operating in the 900MHz and 2.4GHz frequency bands. These products include wireless adapters and access points in PCMCIA, ISA and custom PC board platforms. Proprietary solutions for some applications are beneficial, especially for those requiring market differentiation or customization of a wireless LAN network. However, proprietary solutions are typically customized and constrain end users into purchasing products from a single equipment supplier. The advantage of standards-based products is that users can choose from a number of vendors that provide compatible products. This increases competition and provides the potential for lower cost products. Thus, interoperability, low cost and stimulation of market demand are some of the advantages that standards-based solutions offer.

10

15

20

The IEEE 802.11 standard defines the protocol for two types of networks: Ad-hoc and client/server networks. An Ad-hoc network is a simple network where communications are established between multiple stations in a given coverage area without the use of an access point or server. The standard specifies the etiquette or protocol that each station must observe so that they all have fair access to the wireless communication link. It also provides schemes for arbitrating requests to use the communication link to ensure that throughput is maximized for all users. In contrast, client/server networks use an access point that controls the allocation of bandwidth (i.e., transmission times) for all stations. The access point may also be used to handle traffic to and from a wired or wireless backbone. This arrangement allows for point coordination of all of the stations in the network and ensures proper handling of the data traffic as the access point routes data between the stations and to and from the network. Typically WLANs controlled by a central access point will provide better throughput performance.

The IEEE 802.11 standard does not specify technology or implementation but simply provides specifications for the physical layer and Media Access Control (MAC) layer of a network. The standard thus allows for manufacturers of wireless LAN radio equipment to build interoperable network equipment, while still providing design freedom for these vendors to choose desired implementations.

The physical layer (or phy) in any network defines the modulation and signaling characteristics for the transmission of data. At the physical layer of an 802.11-compliant network, two RF (radio frequency) and one infrared transmission methods are defined. For purposes of this discussion, only the RF methods are considered, but in general the schemes described herein may be equally applicable to infrared transmissions. Operation of a WLAN in unlicensed RF bands requires the use of spread spectrum modulation to meet the

10

15

20

requirements for operation in most countries. The RF transmission standards set forth in the 802.11 standard are Frequency Hopping Spread Spectrum (FHSS) and Direct Sequence Spread Spectrum (DSSS). Both architectures are defined for operation in the 2.4GHz frequency band, typically occupying the 83 MHz of bandwidth from 2.400 GHz to 2.483 GHz. Differential band phase shift keying (DBPSK) and differential quadrature phase shift keying (DQPSK) are the modulation techniques employed for the DSSS networks. Frequency hopping networks use 2-4 level Gaussian FSK (frequency shift keying) as the modulation signaling method. The physical layer data rate for FHSS systems is 1 Mbps. For DSSS systems both 1 Mbps and 2 Mbps data rates are supported. The choice between FHSS and DSSS will depend on a number of factors related to the users application and the environment that the system will be operating within.

The DSSS physical layer uses an 11-bit Barker code Sequence to spread the data before it is transmitted. Each bit transmitted is modulated by the 11-bit sequence. This process spreads the RF energy across a wider bandwidth than would be otherwise required to transmit the raw data. The processing gain of the system is defined as 10x the log of the ratio of spreading rate (also know as the chip rate) to the data. The receiver "despreads" the received signal to recover the original data. The advantage of this technique is that it reduces the effect of narrowband sources of interference. The spreading architecture used in the direct sequence physical layer should not be confused with code division multiple access (CDMA) networks. All 802.11 compliant products utilize the same pseudorandom (PN) code and therefore do not have a set of codes available as is required for CDMA operation.

The FHSS physical layer has 22 hop patterns to choose from. The frequency hop physical layer is required to hop across the 2.4GHz band covering 79 channels. Each channel occupies 1Mhz of bandwidth and transmitters must hop at a specified minimum rate (2.5

10

15

20

hops per second in the United States). Each of the physical layers use their own unique header to synchronize with the receiver and to determine the signal modulation format and data packet length. The physical layer headers are always transmitted at 1Mbps. Predefined fields in the headers provide the option to increase the data rate to 2 Mbps for the actual data packet.

The MAC layer specification for the 802.11 standard has similarities to the 802.3 Ethernet wired line standard. 802.11 networks use a protocol scheme know as carrier-sense, multiple access, collision avoidance (CSMA/CA). This protocol seeks to avoid data collisions instead of detecting them such as the algorithm used in the 802.3 standard. It is difficult to detect collisions in an RF transmission network and it is for this reason that collision avoidance is used.

The MAC layer operates together with the physical layer by sampling the energy over the medium transmitting data. The physical layer uses a clear channel assessment (CCA) algorithm to determine if the channel is clear. This is accomplished by measuring the RF energy at the antenna and determining the strength of the received signal. This measured signal is commonly known as RSSI. If the received signal strength is below a specified threshold the channel is declared clear and the MAC layer is given the clear channel status for data transmission. If the RF energy is above the threshold, data transmissions are deferred in accordance with the protocol rules.

The standard provides another option for CCA that can be used in place of or together RSSI measurement. Carrier sense can be used to determine if the channel is available. This technique is more selective because it verifies that the signal is the same carrier type as 802.11 transmitters. The best method to use depends upon the levels of interference in the operating environment.

10

15

20

The CSMA/CA protocol allows for options the can minimize collisions by using request to send (RTS), clear-to-send (CTS), data and acknowledge (ACK) transmission frames, in a sequential fashion. Communications are established when one of the wireless nodes sends a short message RTS frame. The RTS frame includes the destination and the length of message. The message duration is known as the network allocation vector (NAV). The NAV alerts all others in the network, to back off the communication link for the duration of the transmission. The receiving station issues a CTS frame which echoes the senders address and the NAV. If the CTS frame is not received, it is assumed that a collision occurred and the RTS process starts over. After the data frame is received, an ACK frame is sent back verifying a successful data transmission.

A common limitation with wireless LAN systems is the "hidden node" problem. This can disrupt a significant volume of the communication traffic in a highly loaded LAN environment. It occurs when there is a station in a service set that cannot detect the transmission of another station to detect that the media is busy. In such cases two or more stations that are unaware of one another's transmissions may try to transmit at the same time to another station that can "hear" more than one of the transmitting stations. The use of RTS, CTS, Data and ACK sequences helps prevent the disruptions caused by this problem.

Thus, the 802.11 standard defines practices that ensure network interoperability, however, as indicated above, the standard does not specify any particular implementation details. For example, the 802.11 standard makes no mention of how protocol functions should be divided between hardware and software operations in an 802.11 compliant product. Such decisions are left to product vendors.

10

#### SUMMARY OF THE INVENTION

A medium access control (MAC) layer for a node of a wireless local area network, which may be used in embedded, host and stand-alone applications, includes a hardware layer and a software layer. The hardware layer is configured to perform time-critical tasks and the software layer is configured to perform non-time critical tasks. The software layer includes multiple modules, such as one or more of a host communication agent, a bridging layer, a network management support module, a SBM-to-TAME conversion module, and a rate estimation module. Further, the software layer acts as the source of and the destination of MAC Service Data Units and is configured as a hierarchical structure in which functional unit blocks for associated elements of the hardware layer communicate with an application layer through respective device drivers and managers. Device drivers and managers communicate through a device manager layer. In a host-based configuration, all communication layers above the MAC layer run on a host.

Attorney's Docket No.: 003498.P054

10

15

20

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention is illustrated by way of example, and not limitation, in the figures of the accompanying drawings in which like reference numerals refer to similar elements and in which:

Figure 1 illustrates a topology/connectivity diagram showing an example scenario for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 2 illustrates a hardware block diagram layout for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 3 illustrates a system block diagram for a host-based application of an 802.11e MAC for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 4 illustrates a system block diagram for an embedded application of an 802.11e MAC for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 5 is a software context diagram for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 6 illustrates an exemplary architecture for embedded software for wireless local area network devices configured in accordance with an embodiment of the present invention.

Figure 7 illustrates the relationships between different layers within a MAC for wireless local area network devices configured in accordance with an embodiment of the present invention.

#### **DETAILED DESCRIPTION**

A system (e.g., hardware and software) architecture for wireless local area network devices is disclosed herein. More specifically, examples of hardware and software functionality (and division of labor there between) for Quality of Service (QoS)—based computer network devices that incorporate 802.11-compliant MACs are discussed herein. Although discussed with reference to certain illustrated embodiments, upon review of this specification, those of ordinary skill in the art will recognize that the present architecture and the devices built upon such architecture may find application in a variety of systems. Therefore, in the following description the illustrated embodiments should be regarded as exemplary only and should not be deemed to be limiting in scope.

The following abbreviations are used throughout this discussion:

CP:

Contention period

CFP:

Contention free period

ASIC:

Application specific integrated circuit

15

10

5

FUB:

Functional unit block in an ASIC

PC:

Point coordinator

EPC:

Enhanced PC that supports multimedia streams

STA:

Station

ESTA:

Enhanced STA that supports multimedia streams

20

SMAC:

A MAC hardware accelerator for the 802.11 protocol in an

**ASIC** 

SITARA:

A MAC hardware accelerator for the 802.11e (multimedia)

protocol in an ASIC

The following terminology is used throughout this discussion:

Device/node:

same as station (as that term is used in the 802.11

standard).

Firmware/software:

a computer-readable set of instructions or program that

5

10

is executed by a control processor, including drivers

and other functions.

Driver:

an agent in the software responsible for the operation of

a particular FUB in an ASIC.

Host software:

a set of computer-readable instructions (i.e., a program)

that is executed by a host processor including drivers

and applications

Host driver:

an agent in the host software responsible for the

operation of a particular interface with other hardware

attached to the host.

15

20

Host protocol driver: a driver in the host software responsible for providing

802.11e protocol related support on the host processor.

The system architecture described herein is intended for use with 802.11e-compliant devices with support for multimedia streaming and Quality of Service (QoS) as detailed in the 802.11e standard promulgated by the IEEE. More specifically, the devices described herein include an ASIC with enhancements to the 802.11 MAC, called SMAC, to support the proposed IEEE 802.11e standard. The ASIC includes a FUB configured to provide support for QoS operations; this FUB is referred to as a SITARA. The software running on the ASIC includes a MAC layer, a bridging layer, network management support and other product-

10

15

20

related segments such as an Ethernet driver, a PCI/PCMCIA/USB driver, Flash ROM support for configuration information storage, etc. This description addresses both a host-based and embedded application of the proposed scheme.

In general NICs (network interface cards), bridges and gateway products can be realized using either of these configurations. While a NIC constitutes a host-based application, a bridge is an embedded application. A gateway can be realized either as a host-based application, e.g., using a two-processor approach, or as an embedded application, e.g., using just an ASIC for both MAC and higher layer operations. These different applications are described in more detail below.

Now referring to Figure 1, a connectivity diagram with target/example applications for WLAN devices configured in accordance with the present scheme is shown. As indicated, target platforms include enhanced stations (i.e., stations that comply with the 802.11 standard and that are enhanced for multimedia operations in accordance with the schemes described herein), enhanced stations capable of being enhanced point coordinators, and enhanced point coordinators themselves. Such devices may be employed in a WLAN 10 as a gateway 12 or other node 14, one or more of which may act as a bridge to other networks (e.g., via Ethernet connections). Because the nodes are configured for multimedia operations, applications such as streaming video and/or audio, and interactive game playing may be supported, as well as more traditional applications such as file transfer and voice transport. All communications between nodes take place over wireless links 16. Bridging operations may utilize wired or wireless links 18.

Within the nodes 12 and 14, the functions of the 802.11e MAC may be implemented partly in software and partly in hardware. In one embodiment, a preferred practice is to implement any function that is time critical in the 802.11e protocol in hardware, thus

10

15

20

achieving predictable timing and making the software easier to write, maintain and enhance. For purposes of such embodiments, events that require a response within a few hundred microseconds (µs) and/or occur more frequently than say 500 times per second (i.e., within a 2 msec interval) may be considered time critical.

A MAC hardware accelerator configured in accordance with the present teachings (SITARA) should support the transmission of sequences of multimedia protocol data units (MPDUs), together with related timing, without any frame-by-frame software interaction. At the same time, the hardware should not have the burden of additional buffering, other than what is already provided by software, of the entire frames' data before transmission. The MAC-module in software interacts with the MAC hardware to prepare queues of frames to transmit, analyze and deliver the received frames. The 802.11e retry rules, segmentation and re-assembly, timing generation and management functions are performed largely in software.

With these partitions between software and hardware functionality in mind, a hardware block diagram for a WLAN device 20 configured in accordance with the present invention is shown in Error! Reference source not found. The device 20 includes an ASIC 22, system memory (e.g., RAM) 24, boot/configuration memory (e.g., flash-ROM or provide PROM) 802.11a 802.11b 26. baseband processor (e.g., to a functionality/compatibility) 28, an RF section 30, a host interface 32, and an external control bus interface 34. Other components such as Ethernet Phys and related connectors 36 may also be provided. The baseband processor 28 and the RF section 30 may either be provided on the same circuit board as the ASIC 22 or they may be provided as part of a daughter radio board attached to a circuit board which includes ASIC 22.

ASIC 22 includes a control processor core (e.g., an ARM processor core as is customary in ASIC applications) and related circuitry 38, a memory controller 40, and

10

15

20

various functional unit blocks (FUBs) for PCI/PCMCIA/USB ports 42, Ethernet ports 44, and the 802.11e MAC 46. The ASIC 22 may also include a configuration and general purpose input/output (GPIO) port 48 for functions such as a status display through one or more LEDs, and an external connector bus (ECB) interface 50 that can be used to provide support for other devices such as a second Ethernet connection, an HPNA connection, or other MACs such as HiperLan2 and IEEE-1394. Further, an error correction codec 54 may be included. Internal busses (not shown) couple the elements of ASIC 22 to the host processor core 38 in the customary fashion.

Not all WLAN devices are stand-alone devices. In some cases, WLAN devices are host-based products. A network interface card (NIC) is a good example of a host-based application for WLAN devices configured in accordance with the present invention. A NIC is always attached to a host and provides 802.11e wireless network interface to that host. A personal computer is an example of a host in this configuration. Hence, the NIC is composed of an ASIC 22, a NIC hardware board, software (illustrated as a firmware application and MAC module) for ASIC 22, an NDIS (or similar) driver (usually found in the host) and the network management software (also found in the host). The system block diagram for a NIC 60 with personal computer as host 62 is shown in **Error! Reference source not found.**. All communication layers above the MAC (such as TCP/IP and higher layers) are envisaged to be running on the host 62.

At the top layer of the 802.11e MAC module, communication is carried out through a MAC application programming interface (API). The MAC API is appropriately programmed by the software application. The software application layer consists of multiple modules including a host communication agent, network management support, SBM-to-TAME conversion, rate estimation module, etc. Conceptually the software application can be seen

10

15

20

as the source of and the destination of MAC Service Data Units (MSDUs) from the 802.11e MAC.

The host 62 supports an NDIS driver, which may be a thin layer passing frames between the host and the NIC, and making basic MAC management decisions. The network management software may be an application program running on the host 62, which helps in device installation and device management. Note that in a Gateway product implemented using two processors, one host processor may be used for router applications and an ASIC 22 may be used as a wireless interface. In the illustrated application, the host processor 62 runs the software necessary for network management and provides a driver that is functionally similar to an NDIS driver for communication with the NIC.

In addition to host-based applications, embedded applications of the present MAC may also be used. A bridge is a good example of an embedded application of the MAC. A bridge is a layer-2 device connecting a wired network (e.g., either Ethernet or HPNA) to an 802.11e (i.e., wireless) network. There is no host assumed for this configuration. Hence, a bridge application 65 includes an ASIC 22, a hardware board, and software for ASIC 22 including the 802.11e MAC module and the network management module. The system block diagram for a bridge 65 is shown in **Error! Reference source not found.**. No communication layers above the MAC (such as TCP/IP) are assumed to be running on the processor core 38. For a gateway device implemented using single ASIC 22, as an embedded application, the higher layers are present and running on ASIC 22.

The software application layer for the bridge 65 includes a bridging module and embedded network management module along with the modules such as SBM, if necessary, SBM-to-TAME conversion, rate estimation, etc. that were present in the NIC configuration. Along with these modules, the software in a bridge 65 contains a driver for the Ethernet FUB

10

15

20

instead of the driver for the host interface FUB. As in the host-based application, the software application can be considered the source of and the destination of MSDUs.

In general, all control frames received across the 802.11e MAC are handled by the hardware upon reception and all frames to be transmitted are constructed by the software, except those control frames (CF) and acknowledgement (Ack) frames transmitted as immediate responses (within xIFS) to the received frames. These latter control and ack frames are constructed by the hardware. As part of this process, a CF-Ack notification (or a failure notification) is provided from hardware to software as applicable.

The software context diagram for the present scheme is shown in Error! Reference source not found. The hardware accelerator (SITARA) performs the time critical tasks and expects the rest of the tasks to be performed either in the embedded software or in the host software. The hardware does not take inter-packet decisions by itself unless it is instructed to do so. Hence, the embedded software is expected to provide all the control information to the hardware and additionally indicate the action to be taken in cases such as a transmission failure. This control information may be included in a transmit-frame-header structure defined for SITARA and some of the action information could be passed in control registers of SITARA.

Thus, the hardware accelerator passes received information such as data and events, including timer events (time outs and the like), scheduled transmission events and completion reports/errors and beacon events to the embedded software. In return, the embedded software passes CFP/CP control messages, timer settings, poll and transmission control information and the actual packets for transmission to the hardware. The embedded and host processor software layers exchange MIB updates and values, stream connect/disconnect information, channel information, flow control information and transmission and reception information

10

15

20

between one another. The MAC software thus communicates with two entities: the MAC hardware and the host. Hence, the external interfaces to the MAC software are the MAC hardware interfaces and the host interfaces.

As shown, the host is the source of new MIB updates, transmission requests and the stream connect/disconnect events arising out of reception of RSVP-like signaling packets. The embedded software provides receive indications, values of MIB variables, channel status changes and the stream connect/disconnect events arising out of events within the MAC or upon such instruction from EAP/EPC. The host software includes a host software driver (e.g., an NDIS driver on a Windows environment) and a network management application. The host software driver includes interfaces to higher layers, a D/SBM layer and a hardware abstraction layer to interface with the ASIC. In order to make the best use of the streaming support in the 802.11e standard, the host software driver should be capable of stream classification. Hence, depending on the QoS requirement it should be possible to flow control each stream differently over the hardware interface to the ASIC.

The MAC hardware is the source of received data and a number of different types of events, such as a receive packet event, transmit failure/success events and timer events. The MAC software provides formatted packets and additional control, if needed, in the hardware registers so that the hardware can transmit a sequence of frames. Hence on the transmit side, it is the responsibility of the MAC software to deal with all the management frames, segmentation, stream/priority classification, packet queuing and ordering, into a transmit table for the hardware. The hardware will then perform the transmit operations according to the information in the table. On the receive side, the MAC software handles tasks like constructing delayed-Ack frames and delivering data packets to the host. Additionally the MAC software performs all non-time-critical tasks such as stream connection/disconnection

10

15

process, channel status estimation/analysis, time allocation per D/SBM request, etc. Figure 7 illustrates relationships between these different layers within the MAC.

The embedded software includes the MAC module, an embedded application layer and a host interface. An architecture for the embedded software for the ASIC is shown in **Error! Reference source not found.**. The figure also shows the possibility of other device drivers (such as an Ethernet driver) that can be present in the embedded software. For a host-based application, all the other ports are absent.

As shown, the device drivers interface with the hardware FUBs on one side and with their respective manager entities through the device manager layer on the other side. The device manager provides uniform interfaces so that the device driver and its manager can be developed in parallel. The embedded application layer for a host-based application includes connections between the host interface manager and the WLAN manager.

Thus, a system architecture for wireless local area network devices has been described. Although the foregoing description and accompanying figures discuss and illustrate specific embodiments, it should be appreciated that the present invention is to be measured only in terms of the claims that follow.

#### **CLAIMS**

#### What is claimed is:

- 1. A medium access control (MAC) layer for a node of a wireless local area network,
- 2 comprising a hardware layer and a software layer, the hardware layer being configured to
- 3 perform time-critical tasks and the software layer being configured to perform non-time
- 4 critical tasks.
- 2. The MAC layer of claim 1 wherein the software layer comprises multiple modules
- 2 including one or more of a host communication agent, a bridging layer, a network
- 3 management support module, a SBM-to-TAME conversion module, and a rate estimation
- 4 module.
- 1 3. The MAC layer of claim 1 wherein the software layer acts as the source of and the
- 2 destination of MAC Service Data Units.
- 4. The MAC layer of claim 1 configured for use in an embedded application.
- 5. The MAC layer of claim 1 configured for use in a stand-alone application.
- 1 6. The MAC layer of claim 1 configured for use in a host-based application.
- 7. The MAC layer of claim 6 wherein all communication layers above the MAC layer are
- 2 configured to run on a host.
- 8. The MAC layer of claim 1 wherein the software layer is configured as a hierarchical
- 2 structure in which functional unit blocks for associated elements of the hardware layer
- 3 communicate with an application layer through respective device drivers and managers.
- 9. The MAC layer of claim 8 wherein the device drivers and managers communicate through
- 2 a device manager layer.

Attorney's Docket No.: 003498.P054 - 18 - Patent Application

#### **ABSTRACT**

5

10

A medium access control (MAC) layer for a node of a wireless local area network, which may be used in embedded, host and stand-alone applications, includes a hardware layer and a software layer. The hardware layer is configured to perform time-critical tasks and the software layer is configured to perform non-time critical tasks. The software layer includes multiple modules, such as one or more of a host communication agent, a bridging layer, a network management support module, a SBM-to-TAME conversion module, and a rate estimation module. Further, the software layer acts as the source of and the destination of MAC Service Data Units and is configured as a hierarchical structure in which functional unit blocks for associated elements of the hardware layer communicate with an application layer through respective device drivers and managers. Device drivers and managers communicate through a device manager layer.

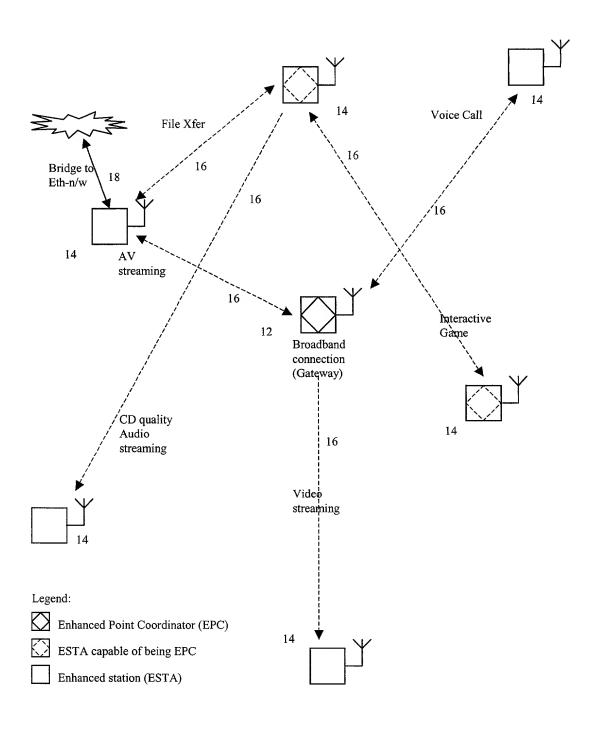


Figure 1

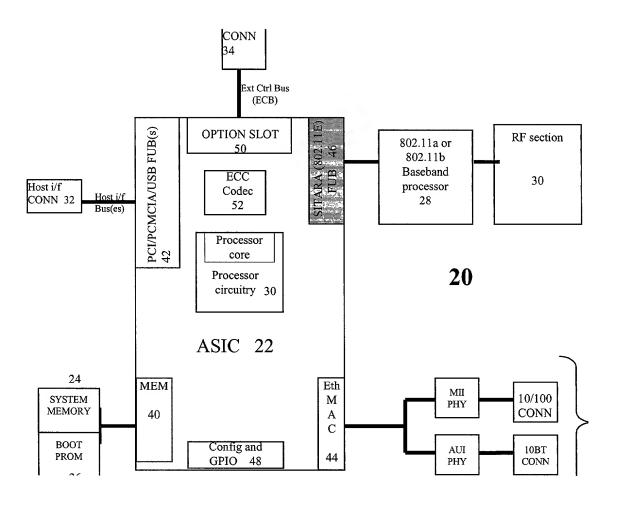


Figure 2

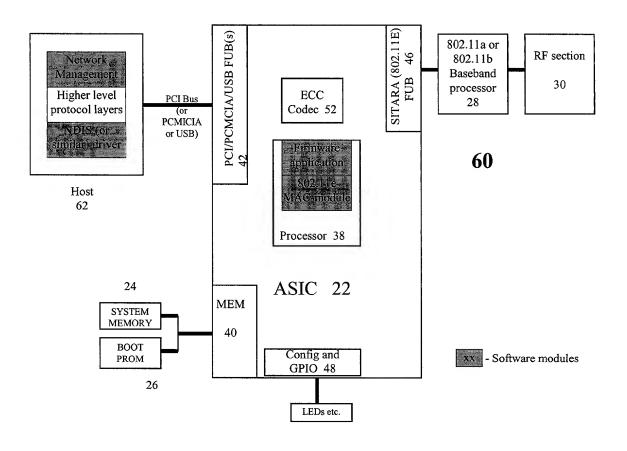


Figure 3

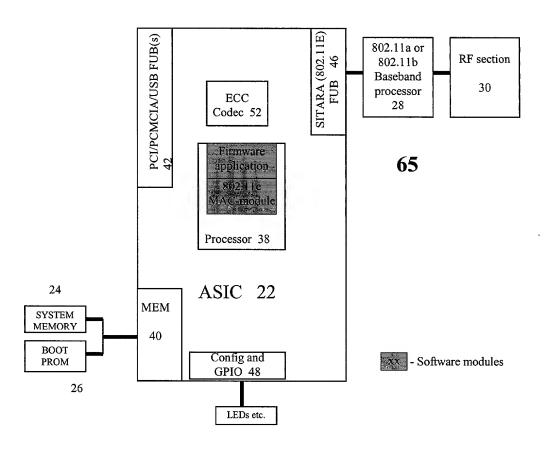


Figure 4

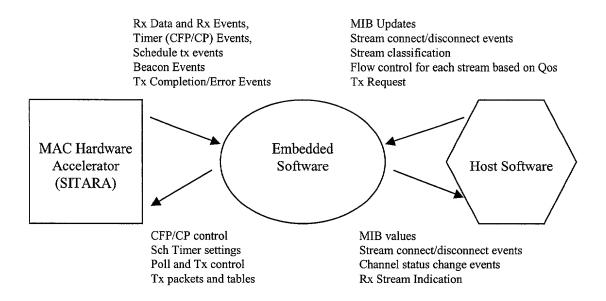


Figure 5

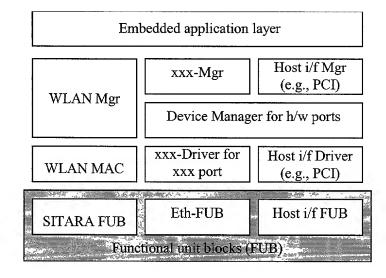


Figure 6

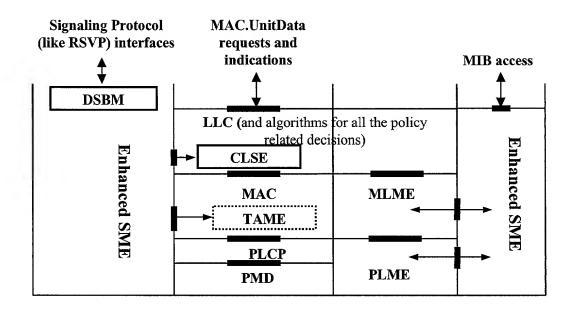


Figure 7

Attorney's Docket No.: 003498.P054 Patent

### **DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ARCHITECTURE FOR A WIRELESS LOCAL AREA NETWORK NODE

the specification of which

_X_	is attached hereto.	
	was filed on	as
	United States Application Number	
	or PCT International Application Number	
	and was amended on	
	(if applica	ble)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority <u>Claimed</u>	
(Number)	(Country)	(Day/Month/Year Fi	led) Yes No	,
(Number)	(Country)	(Day/Month/Year Fi	led) Yes No	•
(Number)	(Country)	(Day/Month/Year Fi	led) Yes No	•
I hereby claim the benefit un provisional application(s) list		s Code, Section 119(e)	of any United States	
(Application Number)	Filing Date			
(Application Number)	Filing Date			
application(s) listed below are is not disclosed in the prior U of Title 35, United States Co known to me to be material to Section 1.56 which became or PCT international filing dates.	Jnited States application de, Section 112, I ackno to patentability as defined available between the fili	in the manner provided wledge the duty to discort in Title 37, Code of Fe	I by the first paragrap lose all information ederal Regulations,	h
(Application Number)	Filing Date	(Status pa	tented, ending, abandoned)	
(Application Number)	Filing Date	(Status pa	tented, ending, abandoned)	
I hereby appoint the persons part of this document) as my substitution and revocation, and Trademark Office conne	respective patent attorn to prosecute this applica	eys and patent agents,	with full power of	
Send correspondence to	Tarek N. Fahmi Name of Attorney or A	, BLAKELY, S	OKOLOFF, TAYLOF	₹ &
ZAFMAN LLP, 12400 Wilsh telephone calls to Tarel (Nam	ire Boulevard 7th Floo	r, Los Angeles, Califo	rnia 90025 and direc	;t

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Rajugopal R. Gubbi	
Inventor's Signature	Date 25th Spt 2000
Residence Fair Oaks, California	Citizenship India
(Čity, State)	(Country)
Post Office Address 8842 Winding Way #123, Fair Oak	s, California 95628

#### APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester, Reg. No. 39,637; Stephen M. De Klerk, under 37 C.F.R. § 10.9(b); Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan. Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Daniel E. Ovanezian, Reg. No. 41,236; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino. Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 43,398; Kirk D. Williams, Reg. No. 42,229; Steven D. Yates, Reg. No. 42,242; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Andrew C. Chen, Reg. No. 43,544; Justin M. Dillon, Reg. No. 42,486; Paramita Ghosh, Reg. No. 42,806; and Sang Hui Kim, Reg. No. 40,450; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney.

#### APPENDIX B

#### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.